IN THE UNITED STATES PATIENT AND TRADEMARK OFFICE

Applicant:

BRUCE et al.

Application No.:

10/086,150

Group Art Unit: 1775

Filed:

October 22, 2001

Examiner: J. McNeil

RECEIVED MAY 25 2004 TC 1700

For: ARTICLE PROTECTED BY THERMAL BARRIER COATING HAVING A SINTERING INHIBITOR, AND ITS FABRICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

)

SIR:

The following are included with this Information Disclosure Statement:

[X]A. PTO/SB/08

[] B. CERTIFICATION

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

[] C. \$180.00 FEE PAYMENT

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

[] D. \$180.00 PETITION, CERTIFICATION & PETITION FEE PAYMENT

(37 C.F.R.1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in compliance with 37 CFR 1.98:

- A copy of each listed U.S. Patent and U.S. Patent application publication [1.98(a)(2)(i)].
- [x] II. A copy of each listed foreign patent and/or published foreign patent application. For each listed foreign patent and/or published foreign patent application [1.98(a)(2)]:
- the English language version or an English translation if not published in English, if available (see PTO-1449)[1.98(a)(3)]; and/or

Atty. Docket No. 13DV-13861/11679 (21635-0039)

- [] U.S. counterpart patents or English language equivalents of the foreign patents or published foreign patent applications (see PTO-1449); and/or
- (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- [] III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
 - [] an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
 - [] (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- [] IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C.§ 120 [1.98(d)].
- Copies of each listed U.S. Patent and U.S. Patent application publication are not being submitted herewith pursuant to a waiver of 37 CFR 1.98(a)(2)(i) by the USPTO on July 11, 2003 for applications filed after June 30, 2003.

This information is submitted in the above-identified application in compliance with 37 C.F.R.1.97 because:

- [] 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- [x] 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).

- [] 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- This information disclosure statement is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:
- [x] I, Carmen Santa Maria, hereby state the following:
 - [x] Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
 - [] No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.
- This information disclosure statement is submitted with the fee of \$180 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).
- Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,

Carmen Santa Maria

Reg. No. 33,453

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of Sheet

Complete if Known		
Application Number	10/086,150	
Filing Date	10/22/2001	
First Named Inventor	BRUCE et al.	
Art Unit	1775	
Examiner Name	J. McNeil	
Attorney Docket Number	13DV-13861/11679 (21635-0039)	

	U.S. PATENT DOCUMENTS				
Examiner Cite Initials * No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan	
	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS					
Examiner Cite Initials* No.1	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶	
	Α_	EP 1 225 251 A2	07-24- 2002	General Electric Company		
	В	WO 02/27066 A2	04-04- 2002	Siemens Westinghouse Power		
	С	WO 01/23642 A2	04-05- 2001	Siemens Westinghouse Power		
	D	WO 01/18277 A1	03-15- 2001	Siemens Westinghouse Power		
	E	WO 01/18274 A1	03-15- 2001	Siemens Westinghouse Power		
	F	EP 0 783 043 A1	07-09- 1997	General Electric Company		

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the